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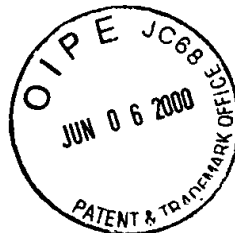
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PATENT, TRADEMARK AND COPYRIGHT LAW
AND RELATED FEDERAL AND ITC LITIGATION



DOCKET NO: 0557-4909-3

ATTN: APPLICATION BRANCH

**THIS IS A RESPONSE TO A NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Re: Inventor: Kazunori ITO, et al.
Serial No.: 09/498,375
Filed: February 4, 2000
For: OPTICAL DEVICE SUBSTRATE FILM-
FORMATION...

SIR:

Attached hereto for filing are the following papers:

**Notice to File Missing Parts of Nonprovisional
Filing of Declaration/Declaration
Request for Priority/Priority Documents (9)**

Our check in the amount of \$.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Surinder Sachar
C. Irvin McClelland
Attorney of Record
Registration No. 21,124

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* REGISTERED PATENT AGENT

FORMALITIES LETTER



OC00000005036091*

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/498,375	02/04/2000	Kazunori Ito	0557-4909-3

Oblon Spivak McClelland Maier & Neustadt PC
Fourth floor
1755 Jefferson Davis Highway
Arlington, VA 22202



Date Mailed: 04/07/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket No. 0557-4909-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

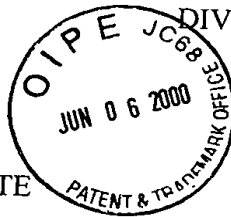
Kazunori ITO, et al.

SERIAL NO.: 09/498,375

FILED: February 4, 2000

FOR: OPTICAL DEVICE SUBSTRATE
FILM-FORMATION...

ATTN: APPLICATION PROCESSING
DIVISION



FILING OF DECLARATION UNDER 37 CFR 1.53(F)

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Responsive to the notification dated April 7, 2000, and in accordance with the provisions of 37 CFR 1.53(f), Applicants submit herewith a Rule 63 Declaration.

The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P. C.

C. Irvin McClelland
Attorney of Record
Registration No. 21,124

Surinder Sachar
Registration No. 34,423



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Docket No. 0557-4909-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazunori ITO, et al.

FILING DATE: Herewith

FOR: OPTICAL DEVICE SUBSTRATE FILM-FORMATION APPARATUS, OPTICAL DISK SUBSTRATE FILM-FORMATION METHOD, SUBSTRATE HOLDER MANUFACTURE METHOD, SUBSTRATE HOLDER, OPTICAL DISK AND A PHASE-CHANGE RECORDING TYPE OF OPTICAL DISK

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

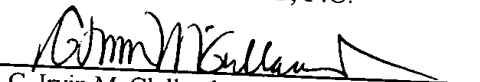
Listed below are the names and addresses of the inventors for the above-identified patent application.

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Katsunari HANAOKA	Tokyo, Japan
Hiroshi DEGUCHI	Tokyo, Japan
Nobuaki ONAGI	Tokyo, Japan
Hiroko TASHIRO	Tokyo, Japan
Kiyoto SHIBATA	Tokyo, Japan
Yasutomo AMAN	Tokyo, Japan
Hiroshi MIURA	Tokyo, Japan
Wataru OHTANI	Tokyo, Japan
Hajime YUZURIHARA	Tokyo, Japan
Masaru SHINKAI	Tokyo, Japan

A declaration containing all the necessary information will be submitted at a later date.

Respectfully Submitted,

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